NeuroNEXT Network

Standard Operating Procedure (SOP)
Publication Policy Development
Version 2.0
SOP NN GA 106

Originators: NeuroNEXT CCC and DCC Personnel

Reviewed and Approved by:

Christopher S. Coffey, PhD (DCC Principal Investigator)

Merit E. Cudkowicz, MD MSc (CCC Principal Investigator)

Marianne Kearney Chase, BA (CCC Director of Clinical Operations)

Dixie J. Ecklund, RN MSN MBA (DCC Associate Director)

Katherine B. Gloer, PhD (DCC Quality Management Lead)

Janice Cordell, RN MPH (NINDS, NeuroNEXT Program Official)

September 21, 2016
Issue Date

October 21, 2016
Effective Date (30 calendar days after the Issue Date)
1. POLICY

All publications based on work from the NeuroNEXT Network, must acknowledge the support of the NIH by including an acknowledgement such as: “This project has been funded in whole or in part with Federal funds from the National Institute of Neurological Disorders and Stroke, National Institutes of Health, under Grant No XXX XXXXXX.” Grant numbers to be listed in this statement include; the NeuroNEXT Clinical Coordinating Center (CCC) and Data Coordinating Center (DCC) infrastructure grants, the Protocol Principal Investigator (PPI) study specific grant, as well as the infrastructure grant for each NeuroNEXT Clinical Study Site (CSS) that participated in the study.

All publications shall comply with the CSS NINDS Cooperative Agreement, HIPAA, the NeuroNEXT Publication and Data Sharing Guideline, and the NIH Public Access Policy.

Additionally, all publications from NeuroNEXT Network studies will comply with federal regulations on PubMed. In accordance with Division G, Title II, Section 218 of PL 110-161 (Consolidated Appropriations Act, 2008), any publication arising from research funded in whole or in part under this agreement is subject to the NIH’s Revised Policy on Enhancing Public Access to Archived Publications Resulting from NIH-Funded Research, NOT-OD-08-033 (the "Policy"). As such, no later than 12 months after the official date of publication, an electronic version of the final peer-reviewed manuscript of such publication must be submitted to the National Library of Medicine’s PubMed Central to be made publicly available. The full text of the Policy, is accessible at http://grants.nih.gov/grants/guide/notice-files/NOT-OD-08-033.html, is incorporated herein by reference. For additional information, please visit http://publicaccess.nih.gov/.

Each Protocol Study conducted through the NeuroNEXT Network will be conducted as a multi-center study and a collaborative publication of results from each Study is anticipated. As such, each NeuroNEXT Clinical Study Site (CSS) will delay publication of its own data until such time that the collaborative publication is released or eighteen (18) months after the conclusion of the Protocol Study (defined as final database lock), whichever occurs first.

All data resulting from any Study conducted through the NeuroNEXT Network is required to be posted on www.clinicaltrials.gov, per current regulations.

2. SCOPE

This SOP has been developed to be in alignment with federal regulations and Good Clinical Practices (GCP) as set forth in the 1996 ICH E6 Consolidated Guidance. The policies and procedures described in this SOP apply to the NeuroNEXT Clinical Coordinating Center (CCC) and Data Coordinating Center (DCC) within the context of their oversight and advisory roles for the NeuroNEXT Network, and to all NeuroNEXT investigators, staff, subcontractors, or other entities associated with the NeuroNEXT Network who manage, oversee, and conduct research regulated by FDA and/or applicable review committees.

3. ROLES AND RESPONSIBILITIES

The NEC is responsible to appoint the NeuroNEXT Publication and Data Sharing Committee. Members of this Committee are responsible for developing and disseminating knowledge derived from Network Studies. Members of Committee are also responsible for updating or amending the Publication and Data Sharing Guidelines, in collaboration with the PPI and NEC as necessary, for study-specific publication instructions. The CSS Investigators who participate in each Study are responsible for complying with the policies developed by the Publication and Data Sharing Committee.
Membership on the Committee may rotate approximately every two years. In addition, the PPI of each NeuroNEXT study will be a member of the Publication and Data Sharing Committee for the duration of that study.

The PPI is responsible for working with the DCC to obtain data analysis required for primary study publication, and for submitting the primary study data for publication.

A CSS Investigator who participated in a Protocol Study may apply to the DCC at any time for access to his/her site’s data, as described in the NeuroNEXT SOP on Data Sharing (NN GA 107). Any requests for study data sets beyond an individual site’s data must be submitted to the Publication and Data Sharing Committee for review and approval.

If the CSS Investigator desires to publish independently, he/she shall submit proposed manuscripts to the Committee for review and comment at least thirty (30) days prior to submission for publication, and shall consider in good faith all comments provided by the Committee during the review period. In the event that the Committee identifies confidential information, the CSS will remove such confidential information from the publication. In the event that the Committee identifies that the manuscript contains patentable information, the CSS will delay publication for a period of not longer than an additional sixty (60) days to allow for patent protection to be sought. Authorship and other matters relating to publications shall be determined in accordance with academic standards and the NeuroNEXT Network Publication and Data Sharing Guideline.

4. APPLICABLE REGULATIONS AND GUIDELINES
   Food and Drug Administration Amendment Act 2007 (clinicaltrials.gov reporting requirements)

5. REFERENCES TO OTHER APPLICABLE SOPS
   NN GA 107   Data Sharing

6. ATTACHMENTS AND REFERENCES
   NN GA 106- A   Document History

7. TERMS AND ABBREVIATIONS
   The following terms and abbreviations are used in this document:

   CCC    Clinical Coordinating Center at Massachusetts General Hospital
   CSS    Clinical Study Site
   DCC    Data Coordinating Center at The University of Iowa
   GCP    Good Clinical Practice
   HIPAA  Health Information Portability and Accountability Act
   NIH    National Institutes of Health
   PPI    Protocol Principal Investigator
   SOP    Standard Operating Procedure
8. SPECIFIC PROCEDURES

A. Publication Review

<table>
<thead>
<tr>
<th>#</th>
<th>Who</th>
<th>Task</th>
<th>Attachment/Reference</th>
<th>Related SOP</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>DCC</td>
<td>Maintains all study databases</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td>NEC</td>
<td>Appoints Publication Committee members</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3.</td>
<td>Publication and Data Sharing Committee</td>
<td>Develops and adopts Network-wide publication and data sharing guidelines</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.</td>
<td>Publication and Data Sharing Committee</td>
<td>Reviews requests for study data and proposed manuscripts</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5.</td>
<td>PPI</td>
<td>Works with the DCC to obtain data for primary publication</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6.</td>
<td>CSS</td>
<td>Requests access for datasets</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Version</td>
<td>Description of Modification</td>
<td>Reason or Justification for Modification</td>
<td>Issue Date</td>
<td>Effective Date</td>
</tr>
<tr>
<td>---------</td>
<td>-------------------------------------------------------------------------------------------</td>
<td>------------------------------------------</td>
<td>----------------</td>
<td>----------------</td>
</tr>
<tr>
<td>1.0</td>
<td>New</td>
<td>N/A</td>
<td>22Mar2012</td>
<td>21Apr2012</td>
</tr>
<tr>
<td>2.0</td>
<td>Added ‘Development’ to the title. Revision to Section 3: Membership on the Committee may rotate approximately every two years. Minor typographical and formatting corrections.</td>
<td>Update for v2.0</td>
<td>21Sep2016</td>
<td>21Oct2016</td>
</tr>
</tbody>
</table>